

*See for  
S*

TRANSMITTAL LETTER			Case No. 8285/412
Serial No. 09/873,943	Filing Date June 4, 2001	Examiner Not yet assigned	Group Art Unit 2643
Inventor(s) Alexander L. Huang et al.			
Title of Invention MONITORING AND OVERRIDING FEATURES FOR A TELEPHONE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Cover Letter (1p. Filed in Dup.); Notice to File Missing Parts (1p.); Response to Notice to File Missing Parts (2pp. Filed in Dup.) Check for \$948 to cover response; Assignment Recordation Form Cover Sheet (1p.); Executed Assignment (3pp.); Check for \$40 to cover Assignment Recordation; Executed Declaration (2pp.); Executed Power of Attorney (1p.) and PTO Reply Postcard.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a \_\_\_\_\_ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	26	Minus	20	6
Indep.	2	Minus	3	0
First Presentation of Multiple Dep. Claim				

Small Entity		Other Than Small Entity		
Rate	Add'l Fee	Rate	Add'l Fee	
x \$9=		x \$18=	108	
x 40=		x \$80=	0	
+\$135=		+\$270=		
	Total add'l fee	\$	Total add'l fee	\$108

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$948 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

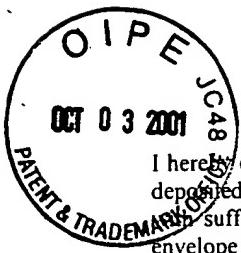
Respectfully submitted,

*Vincent J. Gnoffo*  
Vincent J. Gnoffo  
Registration No. 44,714  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 28, 2001.

Date: *Sept. 28, 2001* Signature: *Vincent J. Gnoffo*  
rev. Dec.-00  
Document3



I hereby certify that this correspondence is being deposited with the United States Postal Service, sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on September 28, 2001

Date of Deposit

Vincent J. Gnoffo, Reg. No. 44,714

Name of applicant, assignee or  
Registered Representative

Vincent J. Gnoffo  
Signature  
September 28, 2001

Date of Signature

Case No. 8285/412  
Client Ref. No. T00338

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alexander L. Huang et al.

Serial No.: 09/873,943

Attention: Manager,  
Application Branch

Filed: June 4, 2001

For: MONITORING AND  
OVERRIDING  
FEATURES FOR A  
TELEPHONE SERVICE  
SYSTEM

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

Commissioner for Patents  
Washington, D.C. 20231  
Attn.: **Box Missing Parts**

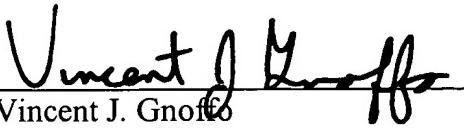
Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated August 2, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- Applicant is:
  - a small entity, verified statement is:
    - attached
    - already filed
    - other than small entity
- Checks totaling \$988 for:
  - Filing Fee of \$710.
  - Surcharge of \$130.
  - Additional Claim Fees of \$108.
- Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- Other: \$40 Assignment Recordation Fee.
- The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: Vincent J. Gnoffo

  
\_\_\_\_\_  
Vincent J. Gnoffo  
Registration No. 44,714  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

rev. Dec.-99  
Document2



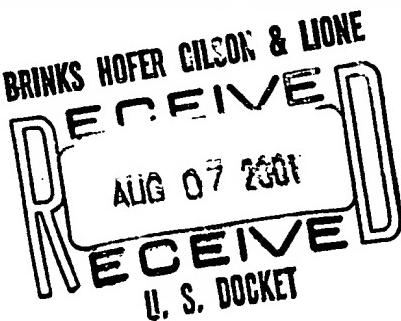
UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/873,943	06/04/2001	Alexander Lisheng Huang	8285/412

Vincent J. Gnoffo  
BRINKS HOFER GILSON & LIONE  
P. O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 3353  
FORMALITIES LETTER



OC000000006378067\*

Date Mailed: 08/02/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$108.  
*■ \$108 for 6 total claims over 20.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 948.**

*A copy of this notice MUST be returned with the reply.*

*Zelma A Redlin*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001	NM1H4M11	000000016	231925	09873943
01 FC:101	740.00	00	00	00
02 FC:105	130.00	00	00	00
03 FC:103	76.00	00	00	00
	30.00	CH		